# On universal instances of principles in reverse mathematics

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# **SUMMARY**

#### INTRODUCTION

From theorems to principles Effectiveness of principles

PRINCIPLES ADMITTING A UNIVERSAL INSTANCE

König's lemma

Cohesiveness

Rainbow Ramsey theorem for pairs

Other principles

PRINCIPLES ADMITTING NO UNIVERSAL INSTANCE

General method

Lowness and SADS

 $\Delta_2^0$  low<sub>2</sub> sets and AMT

Low<sub>2</sub>-ness, STS(2) and SADS

 $\Delta_2^0$  sets and SRRT<sub>2</sub>

# SHAPE OF OUR THEOREMS

# Consider "ordinary" theorems

- ► (König's lemma) *Every* infinite, finitely branching tree *has* an infinite path.
- ► (Ramsey's theorem) *Every* coloring of tuples into finitely many colors *has* an infinite monochromatic subset.
- ► (Atomic model theorem) *Every* complete atomic theory *has* an atomic model.
- ▶ ...

# **OBSERVATION**

Many theorems are of the form

$$(\forall X)(\exists Y)\Phi(X,Y)$$

where  $\Phi$  is an arithmetical formula.

# SHAPE OF OUR THEOREMS

Theorems usually come with a natural class of *instances*.

- ► In König's lemma, the infinite, finitely branching trees
- ► In Ramsey's theorem, the colorings of tuples into finitely many colors
- ► In AMT, the complete atomic theories

Given an instance X, a Y such that  $\Phi(X, Y)$  holds is called a solution (of X).

# **EFFECTIVENESS**

- ► Theorems are not all effective.
- ► Some theorems have computable instances with no computable solution.

# Theorem (Kreisel)

There exists an infinite computable binary tree with no infinite computable path.

# **EFFECTIVENESS**

#### Question

Are there instances that are harder to solve than any other instance?

We need to give a precise definition of "harder".

# **EFFECTIVENESS**

#### Definition

A instance I is harder than another instance J if every solution to I computes a solution to J.

A computable instance that is harder than every computable instance is called a *universal instance*.

# Universal instance

# Which principles admit a universal instance?

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General method Lowness and SADS  $\Delta_2^0$  low<sub>2</sub> sets and AMT Low<sub>2</sub>-ness, STS(2) and SADS  $\Delta_2^0$  sets and SRRT<sub>2</sub><sup>2</sup>

# **DEFINITIONS**

# Definition (Tree)

- ▶ *A tree is a subset of*  $\mathbb{N}^{<\mathbb{N}}$  *downward closed under*  $\leq$ .
- ▶ A tree T is finitely branching if for every  $\sigma \in T$ , there are finitely many n such that  $\sigma n \in T$ .
- A tree is binary if it is a subset of  $2^{<\mathbb{N}}$ .

# Definition (Path)

A path through a tree T is a set  $X \in \mathbb{N}^{\mathbb{N}}$  such that  $X \upharpoonright n \in T$  for each n. [T] is the collection of paths of T.

# KÖNIG'S LEMMA

Definition (König's lemma)

Every infinite, finitely branching tree has a path.

Definition (Weak König's lemma)

Every infinite binary tree has a path.

# WEAK KÖNIG'S LEMMA

# Theorem (Solovay)

Weak König's lemma admits a universal instance.

#### Definition

A function f is d.n.c. relative to X if  $(\forall e)[f(e) \neq \Phi_e^X(e)]$ .

#### Proof.

- ► For every infinite, computable, binary tree T, every  $\{0,1\}$ -valued d.n.c. function computes a path through T.
- ► There exists a computable binary tree whose paths are exactly the {0, 1}-valued d.n.c. functions.

# KÖNIG'S LEMMA

Theorem (Jockusch & al.)

König's lemma admits a universal instance.

#### Proof.

- ▶ For every infinite, computable, finitely branching tree T, there exists an infinite  $\emptyset'$ -computable binary tree U whose paths have the same degrees as the degrees of the paths through T.
- ► Relativize previous theorem.

# WEAK WEAK KÖNIG'S LEMMA

#### Definition

A binary tree T has positive measure if

$$\lim_{n} \frac{|\{\sigma \in T : |\sigma| = n\}|}{2^n} > 0$$

Definition (Weak weak König's lemma)

Every binary tree of positive measure has a path.

# WEAK WEAK KÖNIG'S LEMMA

# Theorem (Kucera)

Weak weak König's lemma admits a universal instance.

#### Definition

A Martin-Löf random is a set X such that  $K(X \upharpoonright n) \ge n - c$  for some constant c, where K is prefix-free Kolmogorov complexity.

#### Proof.

- ► For every computable binary tree *T* of positive measure, every Martin-Löf random is, up to prefix, a path through *T*.
- ► There exists a computable binary tree of positive measure whose paths are all Martin-Löf randoms.

# **COHESIVENESS**

#### Definition

Given a sequence of sets  $R_0, R_1, \ldots$ , a set C is  $\vec{R}$ -cohesive if  $C \subseteq^* R_i$  or  $C \subseteq^* \overline{R_i}$  for each  $i \in \mathbb{N}$ .

# Definition (Cohesiveness)

Every countable sequence of sets  $\vec{R}$  admits an  $\vec{R}$ -cohesive set.

# **COHESIVENESS**

# Theorem (Jockusch & Stephan)

Cohesiveness admits a universal instance.

#### Proof.

- ▶ For every uniformly computable sequence of sets  $\vec{R}$ , every set P whose jump is of PA degree relative to  $\emptyset'$  computes an  $\vec{R}$ -cohesive set.
- ► There exists a uniformly computable sequence of sets  $\vec{R}$  such that the jump of every  $\vec{R}$ -cohesive set is of PA degree relative to  $\emptyset'$ .

# RAINBOW RAMSEY THEOREM FOR PAIRS

#### Definition

A coloring function  $f : [\mathbb{N}]^n \to \mathbb{N}$  is k-bounded if for each color i,  $|f^{-1}(i)| \le k$ . An infinite set H is a rainbow for f if f is injective over  $[H]^n$ .

Definition (Rainbow Ramsey theorem for pairs)

*Every 2-bounded function*  $f : [\mathbb{N}]^2 \to \mathbb{N}$  *has a rainbow.* 

# RAINBOW RAMSEY THEOREM FOR PAIRS

# Theorem (J.S. Miller)

The rainbow Ramsey theorem for pairs admits a universal instance.

#### Proof.

- ► For every computable 2-bounded function  $f : [\mathbb{N}]^2 \to \mathbb{N}$ , every function d.n.c. relative to  $\emptyset'$  computes a rainbow for f.
- ▶ There exists a computable 2-bounded function  $f : [\mathbb{N}]^2 \to \mathbb{N}$  such that every rainbow for f computes a function d.n.c. relative to  $\emptyset'$ .

# OTHER PRINCIPLES

There exist a few other principles admitting a universal instance.

- ► Finite Intersection Property (Downey & al.)
- ► Ramsey-type weak weak König's lemma (Bienvenu & al.)

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# A SIMPLE METHOD

# Fix a principle *P*.

- ▶ Prove that every computable instance of *P* has a solution satisfying some property (e.g.  $\Delta_2^0$ , low, ...)
- ► Prove that for every set *X* satisfying this property, there exists a computable instance *I* of *P* such that *X* does not compute a solution for *I*.
- ► Then P does not admit a universal instance.

#### GENERAL METHOD

# Definition (Computable reducibility)

A principle P is computably reducible to Q ( $P \le_c Q$ ) if for every instance I of P, there exists an I-computable instance J of Q such that for every solution X of J,  $X \oplus I$  computes a solution to I.

Many proofs of implications between principles in reverse mathematics is in fact a computable reduction.

Computable reducibility  $\simeq$  Non-uniform Weihrauch reducibility

# Fix two principles *P* and *Q*.

- ► Prove that every computable instance of *P* has a solution satisfying some property.
- ► Prove that for every set *X* satisfying this property, there exists a computable instance *I* of *Q* such that *X* does not compute a solution for *I*.
- ► Then no principle R such that  $Q \leq_c R \leq_c P$  admits a universal instance.

# ASCENDING DESCENDING SEQUENCE

Definition (Ascending descending sequence)

Every infinite linear order has an infinite ascending or descending sequence.

Definition (Stable ascending descending sequence)

Every linear order of order type  $\omega + \omega^*$  has an infinite ascending or descending sequence.

# ASCENDING DESCENDING SEQUENCE

# Theorem (Hirschfeldt & al.)

Fix a principle P such that  $SADS \leq_c P$ . If every computable instance of P admits a low solution, then P admits no universal instance.

#### Proof.

For every low set X, there exists a computable linear order of order type  $\omega + \omega^*$  having no X-computable infinite ascending or descending sequence.

# Corollary

SADS and SCAC (every stable partial order has an infinite chain or antichain) admit no universal instance.

# Definition (Atomic model theorem)

Every complete atomic theory has an atomic model.

# Theorem (Conidis & al.)

The following statements a computably equivalent:

- ► The atomic model theorem
- ► For every  $\Delta_2^0$  function f, there exists a function g which is not dominated by f.

 $AMT \simeq$  non-uniform hyperimmunity relative to  $\emptyset'$ .

# Theorem (Martin)

Fix a principle P such that AMT  $\leq_c P$ . If every computable instance of P admits a  $\Delta_2^0$  low<sub>2</sub> solution, then P admits no universal instance.

#### Proof.

For any  $\Delta_2^0$  set X, a function is high relative to X iff it computes a function dominating every X-computable function.

# Corollary

AMT, but also SADS and SCAC admit no universal instance.

#### Definition

Given a coloring  $f : [\mathbb{N}]^n \to k$ , a set H is homogeneous for f if there exists a color i < k such that  $f([H]^n) = k$ .

# Definition (Ramsey theorem for tuples)

Every coloring  $f: [\mathbb{N}]^n \to k$  has an infinite homogeneous set.

We write  $RT_k^n$  to denote Ramsey's theorem restricted to colorings over n-tuples with k colors and  $SRT_k^n$  to denote the restriction of  $RT_k^n$  to stable colorings.

# Theorem (Mileti)

Fix a principle P such that  $SRT_2^n \leq_c P$ . If every computable instance of P admits a low<sub>2</sub> over  $\emptyset^{(n-2)}$  solution then P admits no universal instance.

#### Proof.

By a finite injury priority construction.

# Corollary

For every  $n \ge 2$ ,  $RT_2^n$  and  $SRT_2^n$  admit no universal instance.

# Theorem (Patey)

Fix a principle P such that  $SADS \leq_c P$ . If every computable instance of P admits a low<sub>2</sub> solution then P admits no universal instance.

# Corollary

CAC, SCAC, ADS, SADS admit no universal instance.

#### Definition

Given a function  $f : [\mathbb{N}]^n \to \mathbb{N}$ , an infinite set H is thin for f if  $f([H]^n) \neq \mathbb{N}$  (avoids at least one color).

# Definition (Thin set theorem)

Every function  $f: [\mathbb{N}]^n \to \mathbb{N}$  has an infinite set thin for f.

We write TS(n) to denote thin set theorem restricted to colorings over n-tuples and STS(n) to denote the restriction of STS(n) to stable colorings.

# Theorem (Patey)

Fix a principle P such that  $STS(n) \leq_c P$ . If every computable instance of P admits a low<sub>2</sub> over  $\emptyset^{(n-2)}$  solution then P admits no universal instance.

# Corollary

For every  $n \ge 2$ , TS(n), STS(n),  $RT_2^n$ ,  $SRT_2^n$ , FS(n) (Free set) admit no universal instance.

# STABLE RAMSEY THEOREM FOR PAIRS

# Theorem (Mileti)

Fix a principle P such that  $SRT_2^n \leq_c P$ . If every computable instance of P admits an incomplete  $\Delta_2^0$  solution then P admits no universal instance.

#### Proof.

By a finite injury priority construction.

# Corollary

 $SRT_2^2$  admits no universal instance.

# STABLE RAINBOW RAMSEY THEOREM FOR PAIRS

#### Definition

A 2-bounded function  $f : [\mathbb{N}]^2 \to \mathbb{N}$  is rainbow-stable if for every x, there is a y such that f(x,s) = f(y,s) for cofinitely many s.

Definition (Stable rainbow Ramsey theorem for pairs)

Every rainbow-stable 2-bounded function  $f: [\mathbb{N}]^2 \to \mathbb{N}$  admits a rainbow.

 $SRRT_2^2$  is computably equivalent to the statement "for every  $\Delta_2^0$  function f, there exists a function g such that  $f(x) \neq g(x)$  for each x."

# STABLE RAINBOW RAMSEY THEOREM FOR PAIRS

# Theorem (Patey)

Fix a principle P such that  $SRRT_2^n \leq_c P$ . If every computable instance of P admits an incomplete  $\Delta_2^0$  solution then P admits no universal instance.

#### Proof.

By a finite injury priority construction.

# Corollary

SRRT<sub>2</sub>, SRT<sub>2</sub>, STS(2), SEM (stable Erdös Moser theorem) admit no universal instance.

# ERDŐS MOSER CASE

# Definition (Erdős Moser theorem)

Every infinite tournament admits an infinite transitive subtournament.

# Theorem (Patey)

- ▶ There exists a low<sub>2</sub> degree bounding EM.
- ▶  $[STS(2) \lor COH] \le_c EM$

# **Question**

Does the Erdős Moser theorem admit a universal instance?

# CONCLUSION

INTRODUCTION

- ► Few Ramseyan principles admit a universal instance.
- ► Some principles equivalent to the "Big Five" do not admit a universal instance.
- ► It is currently unknown whether Erdős Moser theorem admits a universal instance.

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Somewhere over the rainbow Ramsey theorem for pairs.

Ongoing project.

# **QUESTIONS**

Thank you for listening!